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\*ADMITTED ONLY IN VIRGINIA

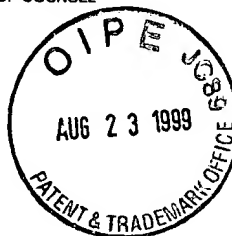
H. ROSS WORKMAN  
OF COUNSEL

PATENT  
TRADEMARK  
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HOME PAGE: <http://www.wnspat.com>  
GENERAL E-MAIL: [Info@wnspat.com](mailto:Info@wnspat.com)

PATENT APPLICATION



Docket: 13676.142

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard L. Bonkowski et al.

Serial No.:

09/351,102

Filed:

July 8, 1999

For:

DIFFRACTIVE SURFACES WITH  
COLOR SHIFTING BACKGROUNDS

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)  
) Art Unit  
) 1772  
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### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 4, 1999, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- x   a Declaration, Power of Attorney, and Petition executed by the inventors,  
Richard L. Bonkowski, Patrick K. Higgins, Charles T. Markantes, and Roger  
W. Phillips;



☒ an Assignment, including a Form PTO-1595 recordation cover sheet, from the inventors to Flex Products, Inc.

☒ a copy of the Notice to File Missing Parts of Application;

The filing fee has been calculated as shown below.

Small Entity					Other Than a Small Entity		
For	No. Filed	No. Extra	Rate	Fee	or	Rate	Fee
Basic Fee				\$380			\$760
Total Claims	52 - 20 =	32	x 9=	\$	or	x 18 =	\$576
Ind. Claims	6 - 3 =	3	x 39=	\$	or	x 78 =	\$234
Multiple Dependent Claims Presented			+130=	\$	or	+260=	\$
Total				\$	or	Total	\$1,570

☒ Check No. 110873 in the amount of \$1,740.00 is enclosed to cover:

☒ The \$1,570.00 government filing fee;

☒ The \$130.00 large entity surcharge fee;

☒ The \$40.00 assignment recordation fee;

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 23-3178.  
Duplicate copies of this letter are enclosed.

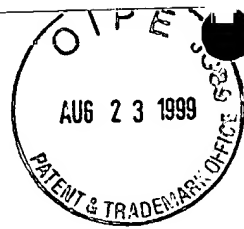
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

☒ Any additional filing fees required under 37 C.F.R. § 1.16.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

Assistant Commissioner for Patents  
Page 3



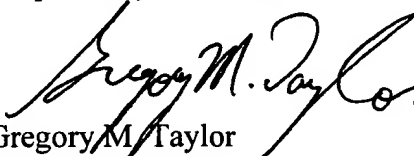
x Any patent application processing fees under 37 C.F.R. § 1.17.

x Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 20<sup>th</sup> day of August 1999.

Respectfully submitted,

  
Gregory M. Taylor  
Attorney for Applicants  
Registration No. 34,263

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
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Enclosures

SECTOR 8

PATENT APPLICATION

Docket: 13676.142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Richard L. Bonkowski et al.

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) Art Unit  
) 1772  
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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

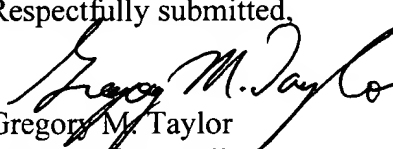
Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 20<sup>th</sup> day of August 1999.

- Response to Notice to File Missing Parts of Application (3 pgs.)
- Declaration, Power of Attorney, and Petition (3 pgs.)
- Assignment, including a Form PTO-1595 recordation cover sheet (4 pgs.)
- Copy of Form PTO-1533
- Check No. 110873 for \$1,740.00
- Postcard

Respectfully submitted,

  
Gregory M. Taylor  
Attorney for Applicants  
Registration No. 34,263

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
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Facsimile: (801) 328-1707

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/351,102 07/08/99 BONKOWSKI

R 13676.142

0212/0804



NOT ASSIGNED

DATE MAILED: 772

GREGORY M. TAYLOR  
WORKMAN NYDEGGER & SEELEY  
1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY UT 84111**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

08/04/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,700.00

☒ 1. The statutory basic filing fee is:

- ☐
- missing.
- 
- ☐
- insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).☒ 2. The following additional claims fees are due:\$ 576.00 for 32 total claims over 20.\$ 234.00 for 3 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒
- is missing or unsigned.
- 
- ☐
- does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).☐ 7. Your filing receipt was mailed in error because your check was returned without payment.☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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01 FC:101  
02 FC:102  
03 FC:103760.00 OP  
234.00 OP  
576.00 OP